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Practitioner's Docket No. SW7255US



SECTOR \$

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Bowden, Kevin D.J.

Application No.: 09/648,143

Group No.: 3761

Filed: August 25, 2000

Examiner: Not yet known

For: FLOW CONTROL VALVE FOR MANUAL RESUSCITATOR DEVICES

Box Missing Parts

Assistant Commissioner for Patents

Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS  
-- NONPROVISIONAL APPLICATION

- I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed October 27, 2000. A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

- II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

SMALL ENTITY STATUS

- III. A statement that this filing is by a small entity was filed on October 16, 2000 (original).  
(A copy of the Verified Statement (Declaration) Claiming Small Entity Status is attached).

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

- [ X ] deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

- [ ] transmitted by facsimile to the Patent and Trademark Office at (703) \_\_\_\_ - \_\_\_\_.

Christine Goellner  
Signature

Date: November 3, 2000

Christine Goellner  
(type or print name of person certifying)

## COMPLETION FEES

### IV. 1. Surcharge Fees

Late payment of filing fee and/or late filing of original declaration or oath (37 C.F.R. Section 1.16(e))	\$65.00
<b>Total Completion Fees</b>	<b>\$65.00</b>

## EXTENSION OF TIME

V. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

## TOTAL FEE DUE

### VI. The total fee due is:

Completion fees	\$65.00
Extension fee (if any)	\$0.00
<b>Total Fee Due</b>	<b>\$65.00</b>

## PAYMENT OF FEES

VII. Enclosed is a check in the amount of 65.00.  
Please charge Account No. 50-0537 for any fees that may be due by this paper.

## AUTHORIZATION TO CHARGE ADDITIONAL FEES

VIII. The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. 50-0537.

37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)  
37 C.F.R. Section 1.16(b), (c) and (d) (presentation of extra claims)  
37 C.F.R. Section 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

Date: November 3, 2000

Reg. No.: 31,115  
Tel. No.: 440-684-1090  
Customer No.: 22203

  
\_\_\_\_\_  
Signature of Practitioner

Mark Kusner  
Mark Kusner Co., LPA  
Highland Place - Suite 310  
6151 Wilson Mills Road  
Highland Heights, OH 44143  
US



## UNITED STATES PATENT AND TRADEMARK OFFICE

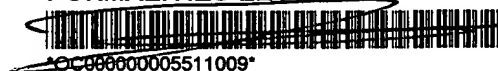
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/648,143	08/25/2000	Kevin D.J. Bowden	SW7255US

22203  
MARK KUSNER COMPANY LPA  
HIGHLAND PLACE SUITE 310  
6151 WILSON MILLS ROAD  
HIGHLAND HEIGHTS, OH 44143



## FORMALITIES LETTER



Date Mailed: 10/27/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/13/2000 AGOITOM 00000010 09648143

.01 FC:205

65.00 OP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Bowden, Kevin D.J.  
Application No.: 09/648,143 Group No.: Not yet known  
Filed: August 25, 2000 Examiner: Not yet known  
For: FLOW CONTROL VALVE FOR MANUAL RESUSCITATOR DEVICES

Assistant Commissioner for Patents  
Washington, D.C. 20231  
ATTENTION: Refund Section, Accounting Division, Office of Finance

RECEIVED  
DEC - 7 2000  
TECHNOLOGY CENTER 3700

REQUEST FOR REFUND  
(37 C.F.R. 1.28(a))

I. SUBMISSION OF SMALL ENTITY STATEMENT

Attached is a statement claiming small entity status in this application.

II. REFUND REQUEST

This request for refund is made within two months of the date a fee was paid in this application on August 25, 2000, in the amount of \$ 690.

III. FEES PAID FOR WHICH REFUND REQUESTED AMOUNT OF REFUND REQUESTED

Filing fee \$345

TOTAL REFUND REQUESTED \$345

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. section 1.8(a))

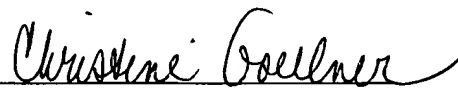
I hereby certify that, on the date shown below, this correspondence is being:

MAILING

- ☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

- ☐ transmitted by facsimile to the Patent and Trademark Office.

  
Signature

Date: October 16, 2000

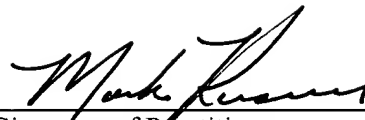
Christine Goellner  
(type or print name of person certifying)

**IV. MANNER OF REFUND**

Please make refund by crediting Account No. 50-0537.

Date: 16 OCT 2000

Reg. No.: 31,115  
Tel. No.: 440-684-1090  
Customer No.: 22203



Signature of Practitioner

Mark Kusner  
Mark Kusner Co., LPA  
Highland Place - Suite 310  
6151 Wilson Mills Road  
Highland Heights, OH 44143  
US

ATTENTION ATTENTION ATTENTION

Date Processed:

*Mc*  
*02/24/00*  
*alp*



ACH Payment

Attached Is The Most Current ACH Information



Treasury Check

The Most Current ACH Information Was

Requested From This Customer With The Purpose Of

Processing His Refund Request By Electronic Fund

Transfer (EFT). No EFT Information Was Received.

Adjustment date: 11/14/2000 AGRAY1  
09/05/2000 CSNEFFEY 00000033 09648143  
01 FC:101

-690.00 OP

Therefore, If Customer Is Due A Refund:

A Check Will Be Issued.

11/14/2000 AGRAY1 00000010 09648143  
01 FC:201



345.00 OP

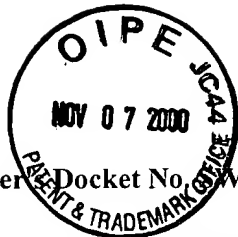
Credit To Deposit Account

This Request for Refund Will Be Processed By Crediting Deposit  
Account Number 50-0537, If Customer Is Due A Refund.

ATTENTION ATTENTION ATTENTION

Repln. Ref: 11/14/2000 AGRAY1  
DAB: 500537 Name/Number: 09648143  
FC: 704

*Appl. Branch*  
*(OJPE)*  
*09648143*



Receipt

Practitioner Docket No. W7255US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Bowden, Kevin D.J.

Application No.: 09/648,143

Group No.: 3761

Filed: August 25, 2000

Examiner: Not yet known

For: FLOW CONTROL VALVE FOR MANUAL RESUSCITATOR DEVICES

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following, which is incorrectly entered.

*Error in*

*Correct data*

1. Title

1. FLOW CONTROL VALVE FOR MANUAL  
RESUSCITATOR DEVICES

3. The correction is not due to any error by applicant and no fee is due.

Date: November 3, 2000

Reg. No.: 31,115

Tel. No.: 440-684-1090

Customer No.: 22203

  
Signature of Practitioner

Mark Kusner  
Mark Kusner Co., LPA  
Highland Place - Suite 310  
6151 Wilson Mills Road  
Highland Heights, OH 44143  
US

TC 3700 MAIL ROOM

JAN 30 2001

RECEIVED

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. section 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:


MAILING

FACSIMILE

- ☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

- ☐ transmitted by facsimile to the Patent and Trademark Office.

Date: November 3, 2000

  
Signature

Christine Goellner  
(type or print name of person certifying)





## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/648,143	08/25/2000	3761	690	SW7255US	3	18	1

22203

MARK KUSNER COMPANY LPA  
HIGHLAND PLACE SUITE 310  
6151 WILSON MILLS ROAD  
HIGHLAND HEIGHTS, OH 44143

## FILING RECEIPT



\*OC000000005511008\*

Date Mailed: 10/27/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) - ~~MARK KUSNER COMPANY LPA~~

Kevin D.J. Bowden, Residence, XPX ;

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 10/27/2000

Title

Flow control valve for manual resuscitator devoces

Preliminary Class

128

devices

RECEIVED

NOV - 1 2000

MARK KUSNER CO., LPA

RECEIVED  
JAN 30 2001  
3700 MAIL ROOM

Data entry by : GARNETT, SANDRA

Team : OIPE

Date: 10/27/2000



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to: